

March 15, 2022

Dear Valued Client,

In our ongoing effort to better serve you, Triumph Capital Management ("TCM") has chosen to engage Chicago Clearing Corporation ("CCC") to provide class action litigation monitoring and securities claim filing services for your TD Ameritrade account(s). We recognize that class action litigation in securities has increased in recent years and we want to provide you with an opportunity to pursue these class action litigations.

As your Investment Advisor, we recommend utilizing the services of CCC to assist with the proliferation of securities class action litigations and the complexities of the claim filing process. We believe it is critical to employ CCC's services to maximize your potential recovery from these claims.

What Does CCC Do?

CCC's sole business is securing class action claims. CCC monitors each claim you may have, collects the applicable trade history and documentation, interprets each settlement's terms, files the appropriate claim form, interacts with the administrators, and distributes your award on your behalf. CCC charges a contingency fee of fifteen percent, subtracted from your award when the award is paid.

If you choose to participate in this service, you authorize TCM to appoint CCC to file securities class action claims on your behalf. We will be required to provide private information to CCC to assist with the class action lawsuit research. Please be aware that if you do not "OPT-OUT" of this service, we will provide this information on your behalf.

How Do I OPT-OUT of CCC?

As a valued client, we are pleased to provide this service to you, but we want to offer you the opportunity to "OPT –OUT" of this service if you choose.

If you OPT-OUT, CCC will not monitor any class action lawsuits from which you may be entitled to awards. CCC will not process any claim forms on your behalf. You are entitled to pursue any securities claims yourself; TCM will not do this for you. To pursue a securities claim yourself, you must retrieve the trade data from your account statements, complete and file the appropriate claim form(s), and supporting documentation on your own.

If you choose to OPT-OUT, please fill out and sign the following page and return this to TCM before 4/30/2021. Otherwise, we will include you in this new service.

Please note, you can opt-out at any time in the future by either submitting the TCM opt-out form or informing TCM in writing of your desire to opt-out.

Questions?

If you have any questions or require additional information about your account(s), please contact us by email: info@TriumphCapitalManagement.com or by phone: 1-877-652-8607.

As always, your personal information will be carefully protected, both by our policies as well as applicable privacy laws.

Thank you for your business. We appreciate the opportunity to assist you with your investment goals.

Sincerely,

Triumph Capital Management

OPT-OUT FORM FOR CLASS ACTION LAWSUITS THROUGH CHICAGO CLEARING CORP

I (we), _____, choose to opt-out of the class action litigation monitoring and claim filing services being offered to me by Chicago Clearing Corporation:

TD AMERITRADE ACCOUNT #	TD AMERITRADE ACCOUNT NAME

Signature: _____

Date: _____

Signature: _____

Date: _____

If you choose to opt-out, please complete and return this form to:

Triumph Capital Management
1610 Wynkoop Street, Suite 500
Denver, CO 80202
Info@TriumphCapitalManagement.com